

02/08/02  
JC843 U.S. PTO

SOFER & HAROUN, L.L.P.  
PATENT FILING TRANSMITTAL

02-11-02  
ATTORNEY DOCKET NO: 921-002

A  
JC828 U.S. PTO  
10/072820  
02/08/02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

### PATENT FILING TRANSMITTAL

Transmitted herewith for filing is the Patent Application of: DAVID COLEMAN,  
STEVE DELVECCHIO, BEN FISHMAN, PATRICK HAUGH, MARK MALASPINA, KITO MANN, DAVID WAXMAN, JASON  
ZIMBA

For: **SYSTEM AND METHOD FOR PROCESSING TEST REPORTS**

#### TYPE OF FILING

This new patent application is for a(n):

- ☒ [ X ] Utility
- ☐ [ ] Design
- ☐ [ ] Plant
- ☐ [ ] Divisional
- ☐ [ ] Continuation
- ☐ [ ] Continuation-in-part
- ☐ [ ] Provisional

#### Benefit of a prior filed application

- ☐ This is a continuation-in-part of copending application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ claims the benefit of an earlier filed U.S. Patent Application under 35 USC 120.
- ☒ Please accord Applicant the benefit of the priority date of February 9, 2002 to this case pursuant to 35 USC 120. Applicant's claim for priority is based on provisional application 60/267,890 filed in U.S. Patent Office on that date.

#### Filing under 37 CFR 1.53 (Utility) or 37 CFR 1.153 (Design)

- ☐ This is an application filed pursuant to 37 CFR 1.53 or 37 CFR 1.153, permitting receipt of a filing date upon filing of a specification, at least one claim and necessary drawings.
- ☐ In the event any parts of this application are incomplete, please treat this as a filing under 37 CFR 1.53 or 37 CFR 1.153.

#### ENCLOSURES

Minimum requirements under 37 CFR 1.53(b) (Utility) or 37 CFR 1.153 (Design)

#### CERTIFICATE OF MAILING (37 CFR 1.10(a))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited with the U. S. Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 as Express Mail No. \_\_\_\_\_ addressed to the Commissioner of Patents & Trademarks, Washington, D.C. 20231 on \_\_\_\_\_ by \_\_\_\_\_.

Date: \_\_\_\_\_

Signed: \_\_\_\_\_

☒ 213 pages of specification;  
☒ 2 pages of claims;  
☒ 1 pages of abstract;  
☒ 48 sheets of informal drawings;  
☒ A Declaration, Power of Attorney & Petition or listing of inventors;  
and  
☒ A postcard for return to us as proof of receipt of the above documents.

plus

☐ An Assignment of the invention to \_\_\_ and an Assignment cover sheet;  
☒ Applicant Claims Small Entity Status (37 CFR 1.9(f) and 1.27(b))  
☐ Form PTO-1449 (IDS) and copies of the references listed thereon;  
☐ A certified copy of \_\_\_\_\_ (country) patent application number (priority document).  
☐ A preliminary amendment;  
☐ Declaration of Biological Deposit;  
☐ Submission of sequence listing, computer readable copy and/or amendment relating thereto for biotechnology invention containing nucleotide and/or amino acid sequence;  
☐ An associate power of attorney;  
☐ Other.

#### DECLARATION OR OATH

The enclosed Declaration or Oath has been executed by:

☐ Inventor(s);  
☐ Legal representative of the inventors (37 CFR 1.42 or 1.43);  
☐ Joint inventor or person showing proprietary interest on behalf of an inventor who refused to sign or who cannot be reached and this is a petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is attached;  
☒ Has not been executed and is enclosed for the purposes of identifying the inventors.

#### INVENTORSHIP STATEMENT

The inventorship for all the claims in this application is:

☒ the same;  
☐ not the same and, as an explanation, a statement is/ will be submitted.

#### LANGUAGE

The application submitted herewith is:

☒ in English;  
☐ in not in English and in terms of 37 CFR 1.52(d) a verified translation is attached  
☐ not attached.

**FEE CALCULATION**

The filing fee has been calculated as shown below:

	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
BASIC FEE Provisional Patent		\$80			\$160
BASIC FEE Utility Patent		\$370			\$740
EXTRA FEES	RATE	FEE		RATE	FEE
TOTAL CLAIMS <u>    </u> MINUS 20 =	x 9 = \$			x 18 = \$	
INDEP.CLAIMS <u>    </u> MINUS 3 =	x 42 = \$			x 84 = \$	
<input type="checkbox"/> MULTIPLE DEP.CLAIM	+ 140 = \$			+ 280 = \$	
<input type="checkbox"/> ASSIGNMENT	+ 40 = \$			+ 40 = \$	
<input type="checkbox"/> RULE 53 SURCHARGE	+ 65 = \$			+ 130 = \$	
TOTAL		<u>\$ 370.00</u>			<u>\$ 0</u>

**FEE PAYMENT**

- ☒ No Fee is Enclosed at this time.  
☐ Attached is Check No.      in the sum of \$ .00 to cover the filing and, if applicable, the assignment fee.  
Please charge Account No.19-2825 the sum of \$           .

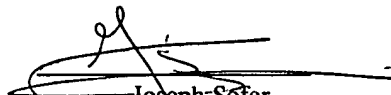
**FEE DEFICIENCY**

- ☐ The Commissioner is authorized to charge (or credit any overpayment) to deposit account No.19-2825:  
Any additional filing fees required under 37 CFR 1.16, except Rule 53 filings, which will be paid within the time permitted by PTOL 1533.  
Assignment Recordal fees.
- ☒ The filing fee and surcharge under 37 CFR 1.16, patent application processing fees under 37 CFR 1.17 and patent issue fees under 37 CFR 1.18 are intended to be paid by our firm as they arise. As no abandonment is intended by any inadvertent nonpayment of fees, the Commissioner is hereby authorized to charge payment of such fees as from time to time come due, if not paid prior to due date to our Deposit Account No. 19-2825 , reference number 921-002
- ☐ A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated: 2/8/02

**SOFER & HAROUN, L.L.P.**

  
Joseph Sofar  
Reg No. 34,438

Address:342 Madison Avenue, Suite 1921  
New York, New York 10173  
Telephone:(212)697-2800  
Facsimile:(212)697-3004

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

David Coleman

Title

System and Method for Processing  
Test Reports

Atty Docket Number

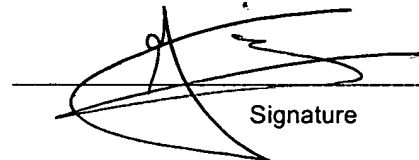
921-002

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

2/8/02

Date

  
Signature

Joseph Sofer Reg. No. 34,438

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PATENT

Docket No. 921-002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Coleman et al.  
Serial No. : TBA  
Filed : February 8, 2002  
For : SYSTEM AND METHOD FOR PROCESSING TEST REPORTS

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EL757856474US

Date of Deposit February 8, 2002

I hereby certify that the following attached paper(s) or fee

- 1) Patent Application ( 216 pgs)
- 2) Informal Drawings ( 48 pgs)
- 3) Patent Transmittal
- 4) Request for non-publication
- 5) Return Postcard
- 6) Certificate of Express Mailing

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37

C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks,

Washington, D.C. 20231.

Gregory Antrim

(Typed or printed name of person  
mailing paper(s) or fee)

(Signature of person mailing  
paper(s) or fee)

Mailing Address:  
SOFER & HAROUN, LLP  
342 Madison Avenue, Suite 1921  
New York, New York 10173  
Tel:(212) 697-2800; fax (212) 697-3004